

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 101

In Re Application of:

Sandro PASQUALE

Attorney Docket No.:

040.0058

Filed:

3-19-2001

Serial No.:

09/859,528

For:

Group No.:

SYSTEM AND METHOD FOR PROVIDING A DYNAMIC ADVERTISING  
CONTENT WINDOW WITHIN A WINDOWS BASED CONTENT  
MANIFESTATION ENVIRONMENT PROVIDED IN A BROWSER

2162

Examiner:

NOT ASSIGNED

**TRANSMITTAL OF A RESPONSE TO NOTICE TO FILE MISSING PARTS  
WITH SUBMISSION OF FEES**

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, DC 20231

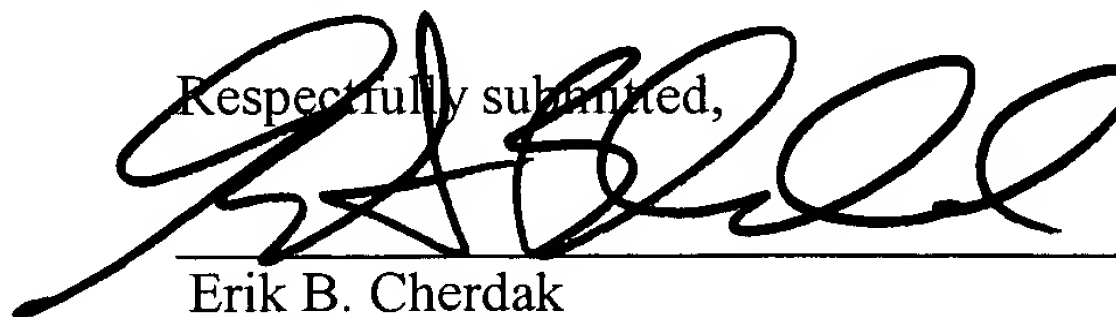
SIR:

Please accept for filing in the above-referenced patent application, a response to a NOTICE TO FILE MISSING PARTS and Fees attached hereto. Examination on the merits is earnestly requested as is the grant of a U.S. Patent. The documentation submitted herewith is summarized as follows:

- 1 Certificate of Mailing
- 1 Declaration of the Inventors (Executed)
- 1 Check in the Amount of \$ 897.00 (Covers Extension of Time Fees)
- 1 Return-Receipt Post Card
- 1 Petition for Extension of Time (2 Month Extension) (Submitted in Triplicate)
- 1 Copy of the NOTICE TO FILE MISSING PARTS

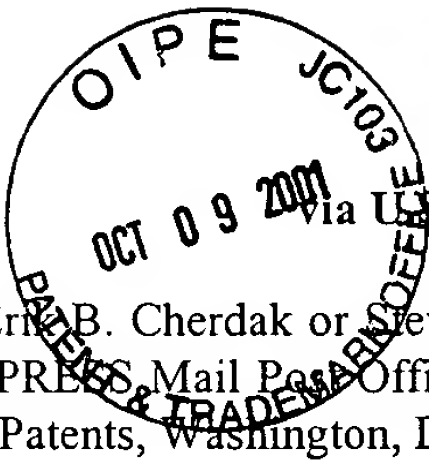
It is believed that the appropriate documents and fees are now complete for the above-titled patent application, and it is now ready for examination on the merits. If any information is missing or if additional information is needed, please contact the undersigned attorney.

Respectfully submitted,



Erik B. Cherdak  
Reg. No. 39.936

11300 Rockville Pike, Suite 906  
Rockville, Maryland 20852  
(301) 984-4700, Fax (301) 984-7696  
Date: 10-9-2001



# CERTIFICATE OF MAILING

Via U.S. Mail EXPRESS MAIL POST OFFICE TO ADDRESSEE service

I, Erik B. Cherdak or Stewart Galloway, certify that I deposited the below-listed documents with the U.S. Mail for EXPRESS Mail Post Office to Addressee service, postage pre-paid, to be delivered to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below.

Documents Submitted by Express Mail Post Office to Address Service:

[ ] **Continuation Prosecution Application**

[ ] Check in the amount of \$0.00

[ ] pages of Specification (not including title page);

[ ] Information Disclosure Statement

[ ] pages of Claims;

[ ] PTO-Form 1449 with 4 references

[ ] page of Abstract;

[ ] Claim for Foreign Priority

[ ] Transmittal for a Response to PCT Invitation to Correct Defects in the International Application

[X] Return Post Card

[ ] Informal Drawings (8 sheets)

[X] Certificate of Mail

[ ] Combined Declaration and Power of Attorney

[ ] OTHER:

[ ] Executed Small Entity Statement

[ ] Payment of Issue Fee

[X] TRANSMITTAL LETTER Re Notice to File Missing Parts

[X] **Declaration of THE INVENTOR (PASQUALE)**  
[ ] Response and Amendment

[X] Request for Extension of Time (2 months)

[ ] Check in the amount of \$0.00 is enclosed

[ ] Notice of Appeal

[ ] Transmittal Letter for Missing Parts of Application

[ ] Notice to File Missing Parts

[ ] Assignment Cover Sheet

[ ] Assignment

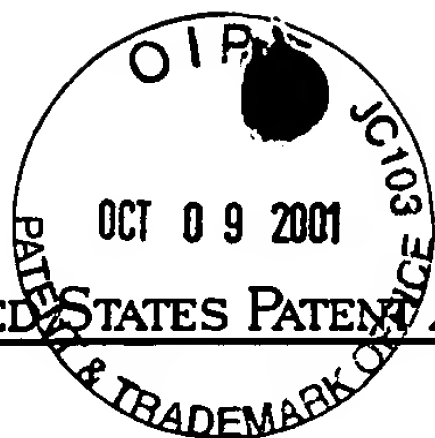
DATE OF DEPOSIT: 10-9-2001

  
Erik B. Cherdak or Stewart Galloway

Attorney Docket No. 040.0058

Application Serial No.: 09/859,528

Filed: 3-19-2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,528	03/19/2001	Sandro Pasquali	040.0058

CONFIRMATION NO. 8092

## FORMALITIES LETTER



\*OC00000006156720\*

ERIK B. CHERDAK & ASSOCIATES, LLC  
Suite 906  
11300 Rockville Pike  
Rockville, MD 20852

10/15/2001 HNOHAM1 00000053 09859528

02 FC:201	370.00 OP
03 FC:205	65.00 OP
04 FC:202	84.00 OP
05 FC:203	171.00 OP
06 FC:998	7.00 OP

Date Mailed: 06/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$323.
  - \$243 for 27 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 743.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*due 10-7-01  
2 mo. ext*

---

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY